

# APPLICATION OVERVIEW

<b>BANKING &amp; FX – CLIENT NAME:</b>	
<b>Banking and FX Currencies Required</b>	Please detail what currencies you require your bank accounts in:
	Main Account Currency
	Additional Currencies
	Buy Currencies (FX)
	Sell Currencies (FX)
<b>Bank Balance</b>	Please <b>estimate</b> the approximate balance held at any one time in your operational currency:
<b>Annual Banking Volume</b>	Please <b>estimate</b> annual volume in your main operational currency:
<b>Annual FX Volume &amp; Annual Payment Volume</b>	Please <b>estimate</b> annual volume of FX required in your main operational currency, along with Same Currency same currency payment volume (If no FX, please explain why):
<b>Incoming Payments</b>	Please <b>estimate</b> the number of incoming payments/credits per month:
<b>Outgoing Payments</b>	Please <b>estimate</b> the number of outgoing payments/debits per month:
<b>Transaction Frequency</b>	Please <b>estimate</b> the total number of transactions per month:
<b>Current Payment Fees</b>	Please <b>detail</b> your current per payment / banking / FX fees:
<b>Originating Jurisdictions</b>	Please provide <b>detail</b> of which jurisdictions your funds will be received in from and what types of company/individual:
<b>Beneficiary Jurisdictions</b>	Please provide <b>detail</b> of which jurisdictions your funds will be sent to and what types of company/individual:
<b>Flow of Funds</b>	<p>Please provide <b>detail</b> of whether your facility will receive/distribute payments in 1st Party (bank account in your name), 2nd Party (inter-group transactions) or 3rd party (external entity) via your ECAP facility by marking the below:</p> <p>1st – 1st (Y/N)</p> <p>1st – 2nd (Y/N)</p> <p>1st – 3rd (Y/N)</p> <p>2nd – 1st (Y/N)</p> <p>3rd – 1st (Y/N)</p> <p>3rd – 3rd (Y/N)</p>

# APPLICATION OVERVIEW... cont

<p><b>Source of Funds</b></p>	<p>Please provide <b>detail</b> of the source of your funds, outlining how you have accumulated these monies and where they are currently situated (current bank and location):</p>
<p><b>Business Overview</b></p>	<p>Please provide <b>detail</b> on your company structure, operation, and payment requirements <b>(include company website)</b> As a guide, please use the framework outlined below:</p> <p>What does your company do/What is your product/service?</p> <hr/> <p>How do you offer/provide the above?</p> <hr/> <p>Who are your clients?</p>
<p><b>Reason for Account</b></p>	<p>Please provide <b>detail</b> of why you require banking or foreign exchange services (Please provide specific detail for your requirement, such as , "I own a clothing store and require FX to pay my suppliers in China and a EUR VIBAN to collect customer payments in the EU"):</p>

ALL DONE? NOW VIEW OUR CHECKLIST ON THE FOLLOWING PAGE.

Once completed please email to your account handler and they will contact you shortly.

# COMPLIANCE CHECKLIST

- ✓ Colour copy of **Proof of ID** for listed **Directors**, e.g. Passport, Driving License, National ID card

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- ✓ Source of Wealth – Last 3 months' worth of **bank statements**, or latest full set of **audited accounts**

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- ✓ Colour copy of **Proof of ID** for listed **Shareholders**, e.g. Passport, Driving License, National ID card

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- ✓ Proof of Business – **Example invoices, contracts or case studies** showing the business operation and/or flow of funds

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- ✓ Copy of **Proof of Address** for listed **Directors**, dated within the last 3 months e.g. Bank Statement or Utility Bill

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- ✓ Copy of **Proof of Address** for listed **Shareholders**, dated within the last 3 months e.g. Bank Statement or Utility Bill

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- ✓ Colour copy of **Proof of ID** for **Authorized Signatories** who are not a Director, e.g. Passport, Driving License, National ID card

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- ✓ Certified copy of **Group Structure Chart / Organogram**

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- ✓ Proof of **Licensing or Regulation** (for industry/jurisdictional requirements)

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- ✓ Copy of **Certificate of Incorporation** and **Memorandum & Articles of Association**

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- ✓ Copy of **Proof of Address** for the company or entity, dated within the last 3 months e.g. Bank Statement or Utility Bill

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- ✓ Copy of **Register of Shareholders** and **Register of Directors** for entities within the group structure.

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- ✓ Where a trust is involved, a copy of **Trust Deeds (or relevant extract)** showing the Settlor, Trustee and Beneficiaries of the trust.

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- ✓ Copy of **Proof of ID** for natural individual Settlers, Trustees and Beneficiaries of the trust.

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- ✓ Copy of **Certificate of Incorporation** and **Shareholder & Directors Registers** for entity Settlers, Trustees and Beneficiaries.

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- ✓ Copy of **fund flow diagram / chart**

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